

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Patent Application of

TOKUSHIGE et al.

Serial No. 09/986,059

Filed: November 7, 2001

Atty. Ref.: 900-406

TC/A/U.: 2814

Examiner: H. PHAM

For: SEMICONDUCTOR DEVICE WITH SELF-ALIGNED
CONTACT PLUGS NEAR GATE ELECTRODE

* * * * *

March 10, 2005

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

- | | | |
|-------------------------------------|--|-----------------|
| <input type="checkbox"/> | 1. PTO-1449 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action] | <i>N/C</i> |
| <input checked="" type="checkbox"/> | 2. Statement Pursuant to 37 CFR 1.97(c)
[before Final Office Action and Allowance] | <i>N/C</i> |
| <input type="checkbox"/> | 3. Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action and Allowance] | <i>\$180.00</i> |
| <input type="checkbox"/> | 4. Pursuant to 37 CFR 1.97(d)
[before Issue Fee payment] | <i>\$180.00</i> |

The following are submitted in the above-identified application in compliance with 37 C.F.R. §§ 1.97 and 1.98:

- | | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | 5. A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document (or foreign search report) is enclosed herewith. |
|-------------------------------------|--|

This paper is submitted in accordance with:

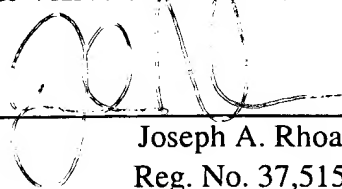
- | | |
|--------------------------|--|
| <input type="checkbox"/> | 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action] |
|--------------------------|--|

- ☒ 7. 37 CFR 1.97(c): [before Final Office Action and Allowance, whichever is earlier]; and
- ☒ a) The required Statement made in item 9 below; or
- ☐ b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 10 below.
- ☐ 8. 37 CFR §1.97(d): [before issue fee payment]; and
- ☐ a) The fee (\$180.00) required by 37 CFR §1.17(p) is authorized in item 10 below; and
- ☐ b) The required Statement is stated in item 9 below.
- ☒ 9. Statement under 37 CFR 1.97(e)
- ☒ a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement (each item contained in this IDS was the first citation of that item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to the filing of this IDS); or
- ☐ b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☒ 10. Please charge all applicable fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.

Respectfully submitted,

NIXON & VANDERHYTE P.C.

By: _____


Joseph A. Rhoa
Reg. No. 37,515

JAR:caj

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Serial No. 09/986,059

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**INFORMATION DISCLOSURE
CITATION**

~~ATTY. DOCKET NO.~~

900-406

SERIAL NO.

09/986,059

APPLICANT

TOKUSHIGE et al.

FILING DATE

November 7, 2001

GROUP

2814

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

*Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Form PTO-FB-A820 (Also PTO-1449)